

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending March 31, 2020

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Sidley Austin LLP

3731

(c) Business Address(es) of Registrant

One South Dearborn, Chicago, IL 60603; 1501 K Street, NW, Washington, DC 20005; 787 Seventh Avenue, New York, NY 10019; 2021 McKinney Avenue, Suite 2000, Dallas, TX 75201; 1999 Avenue of the Stars, 17th Floor, Los Angeles, CA 90067; 60 State Street, 36th Floor, Boston, MA 02109; 1000 Louisiana Street, Suite 6000, Houston, TX 77002; 1001 Page Mill Road, Building 1, Palo Alto, CA 94304; 555 California Street, Suite 2000, San Francisco, CA 94104; 555 West Fifth Street, Los Angeles, CA 90013

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
See Attachment A		

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
See Attachment B				

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☐
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
See Attachment C			

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☐

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐
- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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See Attachment D

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (*specify*) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (*specify*) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (*specify*) _____

21. What language was used in the informational materials:

- ☐ English ☐ Other (*specify*) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

April 28, 2020

/s/ Joseph B. Tompkins, Jr.

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

4.(a) Persons who Ceased to be Partners at Sidley
During the Period of October 1, 2020 to March 31, 2020

Name	Location	Former Position
Li, Kefei	Beijing	Partner
Croke, Patrick E.	Chicago	Partner
Gold, Michael L.	Chicago	Partner
Griffith, Craig A.	Chicago	Partner
Lewis, Douglas I.	Chicago	Partner
Lotsoff, Jonathan D.	Chicago	Partner
McAleenan, Brian A.	Chicago	Partner
Mills, Kerriann S.	Chicago	Partner
Nissen, William J.	Chicago	Partner
Pritikin, David T.	Chicago	Partner
Raskin, Richard D.	Chicago	Partner
Wynne, Ami N.	Chicago	Partner
Conaty, Kelley A.	Dallas	Partner
Flack III, Joe F.	Houston	Partner
Kinsella, Stephen	London	Partner
Smith, Will	London	Partner
Johnsen, Bridget S.	Los Angeles	Partner
Kim, Allen C.	Los Angeles	Partner
Bendinger, Gary	New York	Partner
Hutchinson, John G.	New York	Partner
Madison, George W.	New York	Partner
Friedman, Clayton S.	Orange County	Partner
Bleas, Norman J.	Palo Alto	Partner
Janick III, Herbert F.	Portland	Partner
Rubino, Kevin R.	San Francisco	Partner
Nishikawa, Tomoo	Sidley Austin Nishikawa	Partner
Grambas, Nicholas	Singapore	Partner
Aronow, Geoffrey F.	Washington D.C.	Partner
Bendernagel Jr., James F.	Washington D.C.	Partner
Boucher, Rick	Washington D.C.	Partner
Eckland, William S.	Washington D.C.	Partner
McConagha, William A.	Washington D.C.	Partner
Williams, William A.	Washington D.C.	Partner

**4.(b) Persons Who Became Partners at Sidley
During the Period of October 1, 2019 to March 31, 2020**

Name	Location	Address	Citizenship	Position	Partner Date
Stehl,Kolja	London	49 Shelgate Road, London, SW11 - 1BA, GBR	Germany; United Kingdom Permanent Worker/Resident	Partner	10/1/2019
Shanks,Eleanor	London	Flat 23, Kings Court North, Kings Road, London, SW3 5EQ, GBR	United Kingdom	Partner	11/1/2019
Wassner,Brien M.	New York	70 Little West Street, 20AB, New York, NY, 10004, USA	USA	Partner	11/26/2019
Felchner,Erin	Chicago	3920 N. Lake Shore Drive, #15N, Chicago, IL, 60613, USA	USA	Partner	1/1/2020
Broussard,Barbara	Washington D.C.	4120 Woodbine Street, Chevy Chase, MD, 20815, USA	USA	Partner	1/1/2020
Cooper,Alexis A.	Chicago	1406 Sherwood Lane, Geneva, IL, 60134, USA	USA	Partner	1/1/2020
Michel,Patrick	New York	1 Park Lane, 2d, Mount Vernon, NY, 10552, USA	USA	Partner	1/1/2020
Ishihara,Tomoki	Sidley Austin Nishikawa	4-16-13, Koishikawa, Bunkyo-ku, #407, 13, 112-0002, JPN	Japan	Partner	1/1/2020
Chianese,Christina Prusak	New York	135 Eastern Parkway, 6J, Brooklyn, NY, 11238, USA	USA	Partner	1/1/2020
Polito,Christopher J.	Washington D.C.	2818 24th Street N, Arlington, VA, 22207, USA	USA	Partner	1/1/2020
Tong,Michelle	London	Flat 1702 Altitude Point, 71 Alie Street, London, E1 8NG, GBR	Canada; United Kingdom Permanent Worker/Resident	Partner	1/1/2020
Scofield,Ryan M.	Dallas	7437 Tophill Ln., Dallas, TX, 75248, USA	USA	Partner	1/1/2020
Heinzelman,Kate	Washington D.C.	2034 Hillyer Place, NW, Washington, DC, 20009, USA	USA	Partner	1/1/2020
Whitfield,Peter	Washington D.C.	9715A Mill Run Dr., Great Falls, VA, 22066, USA	USA	Partner	1/1/2020
Pollock,Scott	Chicago	522 S Swain Ave, Elmhurst, IL, 60126, USA	Switzerland; USA Naturalized	Partner	1/1/2020
Abreu,Stephen	San Francisco	935 57th Street, Oakland, CA, 94608, USA	USA	Partner	1/1/2020
Fiet,Kyle J.	Washington D.C.	3820 Ingomar Street NW, Washington, DC, 20015, USA	USA	Partner	1/1/2020
Lieu,Linh	Hong Kong	Flat 11A Kam Shan Court, 19 Village Road, Happy Valley, Hong Kong, H, HKG	Australia; Hong Kong	Partner	1/1/2020
Rishi,Parthiv	Singapore	2 Mistri Road, 41-03, 079624, SGP	United Kingdom; Singapore Permanent Worker/Resident	Partner	1/1/2020
Zhong,Oliver	Hong Kong	Flat B, 20th Floor, Tower 1, The Leighton Hill, No. 2B Broadwood Road, H, HKG	China; Hong Kong Permanent Worker/Resident	Partner	1/1/2020
Fillion,Blake C.	New York	535 W. 43rd Street, Apt. North PHB, New York, NY, 10036, USA	USA	Partner	1/1/2020
Broughan III,Thomas A.	Washington D.C.	1605 N Stafford St., Arlington, VA, 22207, USA	USA	Partner	1/1/2020

**4.(b) Persons Who Became Partners at Sidley
During the Period of October 1, 2019 to March 31, 2020**

Name	Location	Address	Citizenship	Position	Partner Date
Dukin,Craig Francis	Washington D.C.	550 7th St SE, Washington, DC, 20003, USA	USA	Partner	1/1/2020
Loss-Eaton,Tobias S.	Washington D.C.	582 Regent Place NE, Washington, DC, 20017, USA	USA	Partner	1/1/2020
Mulder,Bryan C.	Chicago	2036 W Hopkins Pl, Chicago, IL, 60643, USA	USA	Partner	1/1/2020
Allison,Daniel F.	Houston	6127 Wakeforest Ave, Houston, TX, 77005, USA	USA	Partner	1/1/2020
Stead,Kendra L.	Chicago	2730 W. Leland Ave, Chicago, IL, 60625, USA	USA	Partner	1/1/2020
Hopwood,Alastair	London	65 Hindmans Road, London, GT LON, SE22 9NQ, GBR	United Kingdom	Partner	1/1/2020
Wagner Partin,Sally M.	San Francisco	1245 Masonic Ave., San Francisco, CA, 94117, USA	USA	Partner	1/1/2020
Yoked,Tommer	Houston	7135 Harmony Cove, Houston, TX, 77036, USA	USA	Partner	1/1/2020
Klaben,Katie	Washington D.C.	2748 Woodley Place NW, Washington, DC, 20008, USA	USA	Partner	1/1/2020
Dosch,Joseph R.	Chicago	4539 Cumnor Road, Downers Grove, IL, 60515, USA	USA	Partner	1/1/2020
Crisham Pellegrini,Ellen	Washington D.C.	1309 Roosevelt Street, Alexandria, VA, 22302, USA	USA	Partner	1/1/2020
Correa,Benjamin B.	Washington D.C.	3111 Rittenhouse St. NW, Washington, DC, 20015, USA	USA	Partner	1/1/2020
Brophy,Vincent	London	Flat 3 10 Cadogan Square, London, SW1X 0JU, GBR	United Kingdom; Belgium Permanent Worker/Resident	Partner	1/11/2020
Kavanaugh,Brian P.	Chicago	5452 N. Lakewood, Chicago, IL, 60640, USA	USA	Partner	2/28/2020

14. (a) Receipt of Monies
During the Period of October 1, 2019 to March 31, 2020

Government of Israel (01264)

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/23/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 1,612.50
12/11/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 305.00
1/8/2020	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 1,612.50
2/11/2020	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 4,292.50
	TOTAL:	\$ 7,822.50

JSC VTB Bank (63854)

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/1/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,000.00
10/21/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,000.00
12/4/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,305.00
12/20/2019	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 39,924.27
2/3/2020	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,660.00
2/25/2020	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,000.00
3/25/2020	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$ 30,000.00
	TOTAL:	\$ 220,889.27

15. (a) Disbursements
During the Period of October 1, 2019 to March 31, 2020

<u>Foreign Principals</u>	<u>Purpose</u>	<u>Amount</u>
Government of Israel	Postage, telephone, telex, messenger service, photocopying and document processing	\$ 305.00
JSC VTB Bank	Postage, telephone, telex, messenger service, photocopying and document processing	\$ 10,889.27
	TOTAL:	\$ 11,194.27